Privacy Policy Notice

Rev. Nov 2023

FACTS	**WHAT DOES XY INVESTMENT SOLUTIONS, LLC DO WITH YOUR FINANCIAL INFORMATION?**
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depends on the product or service you have with us. This information can include: ▪ Social Security number and income ▪ Account balances and assets ▪ Transaction history
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons XY Investment Solutions, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does XY Investment Solutions, LLC share?	Can you limit this sharing?
For our everyday business purposes: such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes: to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes: information about your engagement, experiences, and assets.	No	Not Applicable
For our non-affiliated persons who are contracted with us, for everyday business purposes: *Arrangements with companies or independent contractors not an affiliate of XY Investment Solutions, LLC will be subject to confidentiality agreements.*	Yes	No
For our affiliates to market to you	No	Not Applicable
For non-affiliates to market to you	No	Not Applicable

Questions?	Call (360) 301-7579 or go to www.xyplanningnetwork.com/invest

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Who we are	
Who is providing this notice?	XY Investment Solutions, LLC

What we do	
How does XY Investment Solutions, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does XY Investment Solutions, LLC collect my personal information?	We collect your personal information, for example, when you: ▪ Open an account ▪ Deposit money ▪ Seek advice about your investments ▪ Enter into an investment advisory contract ▪ Tell us about your investment or retirement portfolio or earnings We also collect your personal information from other companies.

Definitions	
Affiliates	Companies related by common ownership and control. They can be financial and nonfinancial companies. ▪ XY Planning Network ▪ AdvicePay ▪ Kitces.com ▪ fpPathfinder ▪ New Planner Recruiting
Non-Affiliates	Companies not related by common ownership and control. They can be financial or nonfinancial companies. ▪ Orion Advisor Services ▪ Eastbay Investment Solutions
Joint marketing	A formal agreement between non affiliated financial companies that together market financial products or services to you. ▪ Schwab Advisor Services ▪ East Bay Investment Solutions